

<div style="display: flex; justify-content: space-between;"><div style="width: 40%;"><p style="text-align: center;"><b>UTILITY PATENT</b> <b>APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))</p><p>Check Box, if applicable [ ] Duplicate</p></div><div style="width: 60%; border: 1px solid black; padding: 5px;"><table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 33%; border-bottom: 1px solid black;">Attorney Docket No.</td><td style="width: 33%; border-bottom: 1px solid black;">011083</td><td style="width: 33%; border-bottom: 1px solid black;">Total Pages</td></tr><tr><td colspan="3" style="border-bottom: 1px solid black;">First Named Inventor or Application Identifier</td></tr><tr><td colspan="3" style="border-bottom: 1px solid black;">Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI</td></tr><tr><td colspan="3" style="border-bottom: 1px solid black;">Express Mail Label No.</td></tr></table></div></div>		Attorney Docket No.	011083	Total Pages	First Named Inventor or Application Identifier			Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI			Express Mail Label No.		
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<b>APPLICATION ELEMENTS FOR: <i>METHOD OF FORMING NITRIDE-BASED SEMICONDUCTOR LAYER, AND METHOD OF MANUFACTURING NITRIDE-BASED SEMICONDUCTOR DEVICE</i></b>	<b>ADDRESS TO:</b> Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231												
<div style="display: flex; justify-content: space-between;"><div style="width: 85%;"><p>1. [XX] Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)</p><p>2. [XX] Specification <span style="float: right;">Total Pages [29 ]</span></p><p>3. [XX] Drawing(s) (35 USC 113) <span style="float: right;">Total Sheets [2]</span></p><p>4. [XX] Oath or Declaration <span style="float: right;">Total Pages [3]</span></p><p style="margin-left: 20px;">a. [XX] Newly executed (original)</p><p style="margin-left: 20px;">b. [ ] Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).</p><p style="margin-left: 40px;">i. [ ] <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>5. [ ] Incorporation by reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p><p>6. [ ] Microfiche Computer Program (Appendix)</p><p>7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p><p style="margin-left: 20px;">a. [ ] Computer Readable Copy</p><p style="margin-left: 20px;">b. [ ] Paper Copy (identical to computer copy)</p><p style="margin-left: 20px;">c. [ ] Statement Verifying identity of above copies</p></div><div style="width: 15%; text-align: center; font-size: small;"><p>JC821 U.S. PTO 09/941982 08/30/01</p></div></div>													
<b><u>ACCOMPANYING APPLICATION PARTS</u></b>													
<p>8. [XX] Assignment Papers (cover sheet and document(s)) to: <u>SANYO ELECTRIC CO., LTD., Moriguchi-shi, Japan</u> <b>Please publish the assignee data with the application.</b></p> <p>9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) <span style="float: right;">[XX] Power of Attorney</span></p>													

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **011083**

First Named Inventor or Application Identifier

**Takashi KANO, Hiroki OHBO and Nobuhiko HAYASHI**

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10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 w/5 refs.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document is enclosed.
- a. Priority of Japanese application No. 2000-265391 filed on September 1, 2000 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	18 - 20		x \$18.00	
Independent Claims	2 - 3		x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$750.00

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☒ A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name William G. Kratz, Jr.

Reg. No. 22,631

Signature

Date: August 30, 2001

WGK/yap

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